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# CERTIFIED TRANSLATION OF PRIORITY DOCUMENT

In connection with the above-identified patent application, Applicant herewith submits a certified English translation of the Priority document.

> Respectfully submitted for Applicant(s),

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By: \_\_\_\_\_ Date: May 13, 2003



CERTIFICATION OF TRANSLATION

# Priority Certification of the Submission of a Patent Application

File Ref.: 199 01 623.2

I, Gerhard Kuess, c/o Technical Translation Agency, Försterweg 33, A-2136 Laa/Thaya, Austria,

am the translator of the documents attached and certify that the following is a true translation to the best of my knowledge and belief.

Signature of translator dated this 22<sup>nd</sup> day of April 2003

# FEDERAL REPUBLIC OF GERMANY

A CARLOS OF TO THE STATE OF THE Priority Certification of the Submission of a Patent Application

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Date of application:

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Designation:

Method and device for thermally

connecting the lands of two

substrates

IPC:

H 01 L, B 23 K

The appended items are a true and correct reproduction of the original documents of this Patent Application.

Munich, 11 April 2003

German Patent and Trademark Office The President

On instruction

# METHOD AND DEVICE FOR THERMALLY CONNECTING THE TERMINAL AREAS OF TWO SUBSTRATES

The present invention relates to a method for thermally connecting the terminal areas of a contact substrate to the terminal areas of a carrier substrate, wherein the substrates are, in order to produce the connection, arranged in a connecting position such that the terminal areas are situated opposite one another in the plane of the connection, and wherein the contact substrate is heated to the connecting temperature from its rear side that is situated opposite the terminal areas in order to reach the required connecting temperature in the plane of the connection. The present invention also relates to a device that is suitable for carrying out this method and realized in accordance with the preamble of Claim 6.

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In order to contact the terminal areas of a substrate with the terminal areas of another substrate, for example, to contact a chip on a carrier substrate, it is known to heat the chip from its rear side after it has been transferred into a connecting position in which it is connected to the carrier substrate and the chip terminal areas are situated opposite the assigned terminal areas of the carrier substrate. This is carried out in order to heat the chip terminal area arranged on the side situated opposite the rear side of the chip to the connecting temperature by means of thermal conduction, namely in such a way that a connecting material arranged between the chip terminal areas and the terminal areas of the substrate is heated to the melting temperature and an integral electric connection between the terminal areas is produced.

It is also known to utilize for this purpose connecting or contacting devices that contain a so-called "thermode", i.e. an electric heating resistor that emits a high loss of heat when an electric current is applied, in particular, in a thermode contact region of usually tapered cross-section. This heat loss is utilized for heating the rear side of the substrate, e.g., the chip. Since the heat transfer from the thermode to the

chip takes place in the form of thermal conduction, the thermal resistance between the different elements, i.e. the thermode and the chip, has disadvantageous effects on the time required for reaching the connecting temperature in the chip. With respect to practical applications, this means that, although the thermode can be heated to the required temperature within a few milliseconds, the time required for heating the chip to the connecting temperature amounts to a multiple thereof, in the region of 5-10 seconds, due to the thermal resistance between the thermode and the chip. The thermode contact region is also frequently deformed due to its high temperature load such that the surface contact with the chip is diminished and the thermal resistance that has disadvantageous effects on the heat-up time is further increased.

In addition, longer heat-up times of the chip that are caused by the significantly higher thermal capacity of the thermode in comparison to that of the chip can also be observed in practical applications.

Due to the long heat-up time required for reaching the connecting temperature in the chip or in the terminal areas of the chip, respectively, the known method is not suitable for use with substrates that are sensitive to high temperatures, e.g., substrates of PVC or polyester. Consequently, it is not possible to utilize the known method in the manufacture of chip cards, in which chips are arranged on carrier substrates of PVC, polyester or similar temperature-sensitive materials.

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The present invention is based on the objective of proposing a method and a device which make it possible to produce a connection of the initially described type also when temperature-sensitive substrates are used.

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This objective is attained with a method and a device according to Claims 1 and 6, respectively.

In the method according to the invention, the contact substrate is heated due to the fact that laser energy acts upon the substrate. In this event, the energy introduction into the chip which is required for heating the contact substrate, e.g., the chip, is realized in the form of an absorption of the laser radiation in the chip, i.e., the thermal energy only manifests itself within the chip. In the method according to the invention, the thermal transmission resistance that results in the initially described disadvantages with respect to the heat-up time of the chip does not occur. This means that the heat-up time is significantly reduced such that a significantly lower thermal load for both substrates results. Consequently, the sensitive carrier substrate is not endangered, in particular, in the manufacture of chip cards. Due to the relatively low thermal load, the material of the carrier substrate can be selected according to needs. In addition to plastic substrates, even paper substrates may be considered. The risk of doping changes in the chip structure as they occur under excessively high thermal loads also is significantly reduced. Since the chip terminal areas are heated by heating the structure of the contact substrate, it is no longer necessary to focus the laser radiation onto the chip terminal areas, i.e., a corresponding focusing device can be eliminated.

Superior results, in particular, with respect to a largely uniform gap formation between the contact surfaces of the substrates can be achieved if the rear side of the contact substrate is supported during the heating of the substrate and the contacting of the substrate terminal areas that are situated opposite one another, with said support being realized in such a way that at least partial surface regions of the rear side are supported which lie outside of the energy surface upon which the laser energy acts.

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The method according to the invention can be carried out in a particularly effective fashion if the support is at least partially realized

with the aid of a contact surface of a contacting device that serves for connecting or for accommodating a glass fiber.

When carrying out the connecting method it has proved to be particularly advantageous to subject the substrate to a negative pressure via the contact surface in order to transfer the substrate into the connecting position. With such a measure, it is possible to produce the connection and to handle the contact substrate with one and the same device.

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A connection between the two substrates which is very durable because it is, in particular, protected from the corrosive effects of the environment, can be achieved if a displacement of an adhesive material arranged between the substrates in the plane of the connection takes place simultaneously with the arrangement of the substrates in the connecting position and the subsequent production of the thermal connection between the substrate terminal areas, with the adhesive material subsequently being hardened due to the heating of the substrate. This variation of the method is also particularly effective because a separate production step for subsequently sealing of the gap between the substrates is no longer required.

The contacting device according to the invention for producing a thermal connection between the terminal areas of two substrates which are situated opposite one another in a plane of connection is provided with a contact mouthpiece that serves for producing a connection with at least one glass fiber end section. The contact mouthpiece contains a negative pressure device that is connected to a negative pressure opening in a contact surface of the contact mouthpiece. Due to this measure, the contacting device according to the invention not only makes it possible to heat the substrate by induction of the connecting heat in the substrate, but also to handle the substrate to be contacted. This means that the

connection between the two substrates can be produced in a particularly effective fashion.

A particularly exact alignment and defined arrangement of the at least one glass fiber end section relative to the contact substrate is achieved if the connection of the at least one glass fiber end section to the contact mouthpiece is realized with the aid of a fiber holding device, and if the contact mouthpiece is provided with a number of glass fiber receptacle channels which corresponds to the number of glass fibers used, with said glass fiber receptacle channels opening into the contact surface. In this case, the fiber end cross-sections of the glass fiber end sections may be arranged at a distance from the contact surface of the contact mouthpiece or flush with this contact surface.

In instances in which the fiber end cross-sections of the glass fiber end sections are, in particular, arranged flush with the contact surface of the contact mouthpiece, it is advantageous to provide the fiber holding device with a fiber advancing unit. This fiber advancing unit may be realized integrally with the fiber holding device or separately thereof.

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If the glass fiber receptacle channel or the glass fiber receptacle channels simultaneously serve for forming negative pressure lines of the negative pressure device, the contact mouthpiece can be designed in a particularly simple fashion.

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The design of the contact mouthpiece may be further simplified if the fiber holding device is provided with a pressure connection for the negative pressure device such that the fiber holding device simultaneously fulfills two functions in this case.

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An embodiment of the contacting device which has a particularly low maintenance requirement is achieved if the fiber holding device serves 6

for accommodating at least one glass fiber end section in such a way that a fiber end cross-section is arranged at a distance from the contact surface of the contact mouthpiece. With this measure, a direct contact between the fiber end cross-section and the substrate to be heated is prevented. Consequently, the fiber end cross-section is not heated such that possible soiling of the fiber end cross-section resulting therefrom is prevented.

If the contact mouthpiece is realized in the form of a capsule-like hollow body that contains the negative pressure opening in the contact surface and a pressure connection in its surface, an embodiment of the contacting device is achieved which is designed in a particularly simple fashion and can be easily manufactured.

- Preferred variations of the method according to the invention and preferred embodiments of the device according to the invention are described in greater detail below with reference to the drawings. The drawings show:
- 20 Fig. 1 a contacting device immediately before a contact substrate is contacted on a carrier substrate;
- Fig. 2 another embodiment of a contacting device during the contacting of the contact substrate according to Fig. 1 on the carrier substrate;
  - Fig. 3 another embodiment of the contacting device with two glass fibers immediately before a contact substrate is contacted on a carrier substrate;

Fig. 4 the contacting device according to Fig. 3 with an arrangement of the glass fibers that is modified in comparison to Fig. 3,

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namely immediately before contacting the contact substrate on the carrier substrate;

Fig. 5 a side view of the contacting device shown in Fig. 4, and

Fig. 6 another embodiment of the contacting device.

Fig. 1 schematically shows a contacting device 10 that serves for contacting a contact substrate, in this case a chip 11, on a carrier substrate 12. Contacting device 10 contains a contact mouthpiece 13 that is provided with a contact surface 14 on its lower end. The end section 15 of a glass fiber 16 that is situated in a glass fiber accommodation channel 17 extends through the contact mouthpiece 13 in the longitudinal direction of the contact mouthpiece 13. The end section 15 of glass fiber 16 is held in a fiber holding device 19 with its upper part 18, with the fiber holding device being arranged on the upper end of the contact mouthpiece 13. In the example shown, the fiber holding device 19 is provided with a fiber fixing arrangement 20 that defines the glass fiber 16 in its position relative to the contact mouthpiece 13. The fiber holding device is also provided with a lateral connection piece 21 that serves for producing a connection with a negative pressure source that is not illustrated in detail. The fiber holding device 19 has an inside diameter that is larger than the fiber diameter such that an annular gap 22 is formed in the fiber holding device 19. Since the bore diameter of the glass fiber accommodation channel 17 is also larger than the fiber diameter, this annular gap transforms into an annular channel gap 23 such that a negative pressure is present within the region of an opening 24 of the glass fiber accommodation channel 17 which opening is situated in the contact surface 14. Due to this negative pressure, the rear side 25 of the chip 11 is held against the contact surface 14 of the contact mouthpiece 13 when a negative pressure is applied to the glass fiber accommodation channel 17 as shown in Fig. 1.

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In this way, it is possible to transfer chip 11 from a chip reservoir (not shown) or similar into the starting position according to Fig. 1 for producing a connection between chip 11 and carrier substrate 12. In this starting position, the chip terminal areas 26, 27 of chip 11 are situated opposite the assigned terminal areas 28, 29 of the carrier substrate 12.

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Fig. 1 shows that an adhesive material deposit 32 is deposited onto the carrier substrate 12 in the starting position in such a way that terminal areas 28 and 29 of the carrier substrate 12 are covered. In this starting position, chip 11 is still situated a certain distance above carrier substrate 12.

Fig. 2 shows a contacting device 30 that is modified in comparison to the contacting device 10 according to Fig. 1. The contacting device is situated in the connecting position in which a contact mouthpiece 31 with a chip 11 that adheres to the contact surface 14 due to a negative pressure is moved toward the carrier substrate 12 in such a way that the chip terminal areas 26, 27 come in contact with the assigned terminal areas 28, 29 of the carrier substrate 12. Due to the formation of this contact, the adhesive material deposit 32 is displaced as shown in Fig. 2, namely in such a way that the gap 33 formed between the chip 11 and the carrier substrate 12 is filled in a sealed fashion with the adhesive material 32 of the adhesive material deposit. On the periphery of the chip 11, the adhesive material deposit 32 simultaneously forms a bead such that a particularly reliable lateral seal of the gap 33 is achieved.

The heating of the chip 11, as well as the chip terminal areas 26, 27 connected to the chip 11, and the heating of the terminal areas 28, 29 of the carrier substrate 12 which are in contact with the chip terminal areas 26, 27 takes place in the connecting position shown in Fig. 2.

As in the embodiment of the contacting device 10 which is shown in Fig. 1, the end section 15 of the glass fiber 16 is also situated in a glass fiber accommodation channel 17 in the contacting device 30. In this case, the fiber end cross-section 34 of the glass fiber 16 is, however, spaced apart from the rear side 25 of the chip 11 by a distance a. The glass fiber 16 is connected to a laser source that is not illustrated in detail and emits laser radiation into the glass fiber 16. The laser radiation emerges from the fiber end cross-section 34 and is introduced into the chip structure of the chip 11 via the rear side 25 of the chip 11. The radiation energy is converted into thermal energy due to the absorption of the laser radiation in the chip structure such that the chip 11 and consequently the chip terminal areas 26, 27 which are connected to the chip, as well as the terminal areas 28, 29 that are in contact with the chip terminal areas 26, 27, are heated to the connecting temperature. The chip terminal areas 26, 27 and/or the terminal areas 28, 29 of the carrier substrate 12 are conventionally provided with a meltable connecting material that melts when the chip 11 is heated and produces a rigid integral connection between the chip 11 and the carrier substrate 12 after it solidifies. The temperature of the adhesive material deposit 32 also increases simultaneously with the heating of the chip terminal areas 26, 27 and the terminal areas 28, 29. If the material composition of the adhesive material deposit 32 is chosen accordingly, this temperature increase of the adhesive material leads to a hardening or at least an accelerated hardening of the adhesive material deposit 32.

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Fig. 1 shows that the rear side 25 of chip 11 is supported by surrounding surface regions of the contact surface 14 outside of an energy surface 51 that is covered by the opening 24 and through which the energy introduction into the chip 11 takes place.

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In contrast to the contacting device 10 according to Fig. 1, the contacting device 30 shown in Fig. 2 contains a few modifications that,

however, have no effect on the previously described method, i.e., either the contacting device 10 or the contacting device 30 can be utilized for positioning the chip 11 in the starting position shown in Fig. 1 and for producing the connection between the chip 11 and the carrier substrate 12 in the connecting position shown in Fig. 2.

In contrast to the contacting device 10, the contacting device 30 contains a fiber holding device 35 that is integrated into the body of the contact mouthpiece 31. In addition, the contacting device 30 contains a pressure connection 36 that is realized in the form of a transverse bore in the body of the contact mouthpiece 31 and serves for producing a negative pressure connection with the opening 24 in the contact mouthpiece 31 via an annular channel gap 38 that is formed between the fiber end section 15 and the glass fiber accommodation channel 37.

Fig. 3 shows a contacting device 39 in its starting position above the carrier substrate 12. In contrast to contacting devices 10 and 30, this contacting device is provided with two glass fibers 16, such that fiber end sections 42 are arranged in parallel glass fiber accommodation channels 40, 41 at a distance a between their fiber end cross-sections 34 and the rear side 43 of a chip 44. In order to fix the position of the fiber end sections 42 relative to a contact mouthpiece 45 of contacting device 39, fiber end sections 42 are guided through a fiber holding device 46 that is connected to the upper end of the contact mouthpiece 45.

Fig. 5 shows that a connecting line in the form of a negative pressure channel 49 that is realized independently of the glass fiber accommodation channels 40, 41 is formed in the contacting device 39 between an opening 47 in the contact surface 48 on the lower end of the contact mouthpiece 45 and a pressure connection 49 that is realized in the form of a transverse bore in the contact mouthpiece 45. The embodiments of the contacting device 39 shown in Figs. 3, 4 and 5 are

particularly suitable for producing a connection between a larger chip 44 or a larger substrate and a carrier substrate 12. On the other hand, the contacting devices 10 and 30 shown in Figs. 1 and 2 which contain only one glass fiber 16 are better suited for contacting smaller chips, e.g., in the manufacture of chip cards.

A comparison of Figs. 3 and 4 shows that the contacting device 39 makes it possible to arrange the fiber end cross-sections 34 of the glass fiber 16 at a distance a from the rear side 43 of the chip 44 (Fig. 3) or directly adjacent to the rear side 43 of the chip 44 as illustrated in Fig. 4 depending on the adjustment of the position of the fiber end sections 42 relative to the fiber holding device 46. In the configuration of the glass fibers 16 in the contact mouthpiece 45 which is shown in Fig. 4, it is particularly advantageous to combine the fiber holding device 46 with a fiber advancing unit that is not illustrated in detail and makes it possible to advance the fiber in order to compensate possible wear phenomena on the fiber end cross-sections 34.

Analogous to the contacting device 39 that is shown in Figs. 3, 4 and 5 and makes it possible to accommodate several glass fibers 16, it would naturally also be possible to provide the contacting devices 10 and 30 shown in Figs. 1 and 2 with fiber holding devices that are equipped with fiber advancing units. This would make it possible to configure the glass fiber 16 in the contact mouthpiece 13 or in the contact mouthpiece 31 such that the fiber end cross-section 34 adjoins the rear side 25 of the chip 11, similarly to the configuration shown in Fig. 4.

Fig. 6 shows a contacting device 52 having a contact mouthpiece 53 that is realized as a capsule-like hollow body and connected to a fiber end section 55 of the glass fiber 16 in the region 54 of its upper circumferential edge, e.g., by means of bonding or shrinking. The contact mouthpiece 53 is realized in a transparent fashion within the

region of its contact surface 56 whereas, with respect to the inner surface 57 of the contact mouthpiece 53, it is advantageous to realize this surface such that it reflects radiation.

As in the previously described contacting devices, contacting device 52 is also provided with an opening 58 in its contact surface 56. In the example shown, this opening produces a fluid connection with a pressure connection 59 that is laterally arranged on the contact mouthpiece 53, with said fluid connection extending over the entire interior of the contact mouthpiece 53 that is realized in the form of a hollow body in this case.

In the embodiment shown in Fig. 6, the transparent contact surface 56 is larger than the surface of the rear side 43 of the chip 44. In order to prevent that a laser radiation path 61 which is, for example, controlled by a scanner (not shown) extends directly onto the carrier substrate that is not shown in Fig. 6, passing the chip 44, a diaphragm or another suitable device for influencing the laser radiation path 61 may be arranged within the region of the laser radiation path 61.

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Fig. 6 indicates that the contacting device 52 makes it possible to carry out the method in a particularly inexpensive fashion with respect to the costs for the device since the contacting device 52 is practically realized in the form of a fiber end cap that can be simply placed onto the fiber end section 55 of the glass fiber 16. The distance A between the fiber end cross-section 34 and the contact surface 56 can be adjusted by choosing the cap projection 60 at the connecting point between the contact mouthpiece 53 and the fiber end section 55 accordingly.

### CLAIMS

- 1. A method for thermally connecting the terminal areas of a contact substrate to the terminal areas of a carrier substrate, wherein the substrates are, in order to produce the connection, arranged in a connecting position such that the terminal areas are situated opposite one another in the plane of the connection, and wherein the contact substrate is heated to the connecting temperature from its rear side that is situated opposite the terminal areas in order to reach the required connecting temperature in the plane of the connection, characterized in that the contact substrate (11, 44) is heated by subjecting the substrate to laser energy.
- 2. The method according to claim 1, characterized in that the rear side (25, 43) of the substrates is supported while the contact substrate (11, 44) is heated and while the contacting of the terminal areas (26, 27; 28, 29) of the substrates which are situated opposite one another takes place, with the support being realized in such a way that at least partial surface regions of the rear side are supported which lie outside of the energy surface (51) that is subjected to the laser energy.
  - 3. The method according to claim 2, characterized in that the support is at least partially realized by means of a contact surface (14, 48, 56) of a contacting device (10, 30, 39, 52) that serves for connecting or for accommodating a glass fiber (16).

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4. The method according to claim 3, characterized in that the substrate (11, 44) is subjected to a negative pressure via the contact surface (14, 48, 56) in order to transfer the substrate into the connecting position.

5. The method according to at least one of the previous claims, characterized in that a displacement of an adhesive material deposit (32) arranged between the substrates in the plane of connection takes place simultaneously with the arrangement of the contact substrate (11, 44) in the connecting position and the subsequent production of the thermal connection between the terminal areas (26, 27; 28, 29) of the substrates (11, 44; 12), with the adhesive material subsequently being hardened due to the heating of the contact substrate.

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- 6. The contacting device for producing a thermal connection between the terminal areas of two substrates which are situated opposite one another in a plane of connection, with a contact mouthpiece for producing a connection with at least one glass fiber end section, characterized in that the contact mouthpiece (13, 31, 45, 53) is provided with a negative pressure device that is connected to a negative pressure opening (24, 47, 58) in a contact surface (14, 48, 56) of the contact mouthpiece (13, 31, 45, 53).
- 7. The contacting device according to claim 6, characterized in that the connection between the at least one glass fiber end section (15, 42, 55) and the contact mouthpiece (13, 31, 45, 53) is produced with the aid of a fiber holding device (19, 35, 46), and in that the contact mouthpiece is provided with a number of glass fiber receptacle channels (17, 40, 41) which corresponds to the number of glass fibers (16) used, with the glass fiber receptacle channels opening into the contact surface (14, 48, 56).
- 8. The contacting device according to claim 7, characterized in that the fiber holding device is provided with a fiber advancing unit.

9. The contacting device according to claim 7, characterized in that the glass fiber receptacle channel (17) or the glass fiber receptacle channels (40, 41), respectively, simultaneously serve for forming negative pressure lines of the negative pressure device.

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10. The contacting device according at least one of claims 6-9, characterized in that the fiber holding device (19) is provided with a pressure connection (21) in order to form the negative pressure device.

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11. The contacting device according to claim 6 or 7, characterized in that the fiber holding device (19, 35, 46, 54) serves for accommodating at least one glass fiber end section (15, 42, 55), namely such that a fiber end cross-section (34) is arranged at the distance from the contact surface (14, 48, 56) of the contact mouthpiece (13, 31, 45, 53).

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12. The contacting device according to claim 11, characterized in that the contact mouthpiece (53) is realized in the form of a capsule-like hollow body that contains a negative pressure opening in the contact surface and a pressure connection (59) in its outer surface (57).